



1-28-04

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Klaus Molzer
Application No.:	10/689170
Filed:	October 20, 2003
For:	HANDLE & LOCK
Group Art Unit:	Not Yet Assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: A66.2H-11057-US01

TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: **4 Page Information Disclosure Statement, 3 Page List of References and Return Postcard.**
2. With respect to fees:
 - ☒ No additional fee is required.
 - ☐ Attached is check(s) in the amount of \$
 - ☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**

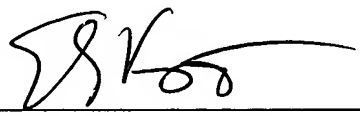
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefore.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Sincerely,

VIDAS, ARRETT & STEINKRAUS


Date: January 26, 2004

By: 
Edwin E. Voigt II, Esq.
Registration No.: 36042

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001

EEV/des
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Certificate Under 37 CFR 1.10: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as EXPRESS MAIL, Label No. EV 391915812 US, addressed to, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 26, 2004.


Mary C. Granger



PATENT

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Application No.:	10/689170
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INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

☒ **I.** This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- ☐ (1) within 3 months of the filing date of the application (other than a CPA); or
- ☐ (2) within 3 months of entry of the national stage; or
- ☐ (3) before the mailing of a first Office Action on the merits;
- ☒ (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- ☐ (5) as part of a continued prosecution application (CPA); or
- ☐ (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

☐ **II.** This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- ☐ (1) a certification as specified in §1.97(e) is provided below; or
- ☐ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

_____ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- _____ (1) a certification as specified in §1.97(e) is completed below; and
_____ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

X **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

If paragraph II.1 or III is checked, also check one of the paragraphs below

_____ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

_____ This communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

_____ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

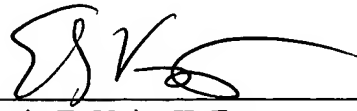
If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Sincerely,

VIDAS, ARRETT & STEINKRAUS

Date: January 26, 2004

By: _____

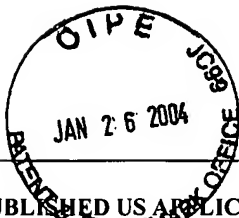


Edwin E. Voigt II, Esq.
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Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001



PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO.: A66.2H-11057-US01		APPLICATION NO.: 10/689170	
				APPLICANT: Klaus Molzer			
				FILING DATE: October 20, 2003		GROUP: Not Yet Assigned	
REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	Des. 343347	1/18/94	Lau et al.	D8/301		
	AB	Des. 360345	7/18/95	Swan et al.	D8/308		
	AC	Des. 371300	7/02/96	Mordick	D8/343		
	AD	Des. 385768	11/04/97	Nutter et al.	D8/308		
	AE	Des. 391143	2/24/98	Jancsek	D8/308		
	AF	Des. 396397	7/28/98	Swan	D8/331		
	AG	Des. 400076	10/27/98	Jancsek et al.	D8/331		
	AH	Des. 411433	6/22/99	Swan et al.	D8/306		
	AI	1812334	6/30/31	Gloekler	292/205		
	AJ	2160611	12/ /36	Alexander	292/348		
	AK	2459920	1/25/49	Clark	70/223		
	AL	2473937	6/21/49	Cameron	292/348		
	AM	2701735	2/08/55	Segal	292/348		
	AN	2707121	4/26/55	Behnke	292/228		
	AO	2851871	9/16/58	Newell	70/150		
	AP	2949328	8/16/60	Kaiser	292/207		
	AQ	3159994	12/08/64	Schulz	70/146		
	AR	4583775	4/22/86	Bisbing	292/64		
	AS	4732418	3/22/88	Crown et al.	292/207		
	AT	4763935	8/16/88	Bisbing	292/66		
	AU	5172944	12/22/92	Munich et al.	292/39		
	AV	5184853	2/09/93	Whatley	292/205		
	AW	5292189	3/08/94	Lau et al.	312/265.3		
	AX	5299597	4/05/94	Fort et al.	137/385		
	AY	5390517	2/21/95	Yamada	70/210		
	AZ	5408853	4/25/95	Yamada, Kenichi	70/210		
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



UNPUBLISHED US APPLICATIONS NOT RELATED TO PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: A66.2H-11057-US01		APPLICATION NO. 10/689170		
		APPLICANT: Klaus Molzer				
		FILING DATE: October 20, 2003		GROUP: Not Yet Assigned		
REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5469725	11/28/95	Yamada	70/208	
	AB	5481889	1/09/96	Richard et al.	70/118	
	AC	5509703	4/23/96	Lau et al.	292/1	
	AD	5520427	5/28/96	Mader, Gerald E.	292/336.3	
	AE	5582042	12/10/96	Mordick	70/14	
	AF	5582443	12/10/96	Finkelstein et al.	292/202	
	AG	5620290	4/15/97	Homfeldt et al.	411/533	
	AH	5630632	5/20/97	Swan	292/240	
	AI	5634357	6/03/97	Nutter et al.	70/210	
	AJ	5634357	6/03/97	Nutter et al.	70/210	
	AK	5642909	7/01/97	Swan et al.	292/39	
	AL	5664448	9/09/97	Swan et al.	70/224	
	AM	5664448	9/09/97	Swan et al.	70/224	
	AN	5666695	9/16/97	Jergers et al.	16/381	
	AO	5683005	11/14/97	Mordick	220/3.7	
	AP	5704100	1/06/98	Swan	24/6566	
	AQ	5722121	3/03/98	Lau et al.	16/381	
	AR	5862690	1/26/99	Jancek	70/224	
	AS	5879035	3/09/99	Jancek et al.	292/202	
	AT	6068308	5/03/00	Molzer	292/336.3	
	AU	6086308	7/11/00	Sjogren et al.	414/373	
	AV	6101856	8/15/00	Pelletier et al.	70/472	
	AW	6318770	11/20/01	Molzer, Klaus	292/241	
	AX	6354119	3/12/00	Molzer	70/210	
	AY	6474119	11/05/02	Halvorson et al.	70/208	
	AZ					
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						



US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: A66.2H-11057-US01		APPLICATION NO. 10/689170		
		APPLICANT: Klaus Molzer				
		FILING DATE: October 20, 2003		GROUP: Not Yet Assigned		
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
	BA					
	BB					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)						
	CA					
	CB					
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY						
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)		
	DA					
	DB					
US APPLICATIONS REALTED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA	09/448646	11/24/99	6354119	Molzer, Klaus	
	EB	10/079841	2/19/00	6662605	Molzer, Klaus	
	EC					
	ED					
	EE					
	EF					
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						